## CRITICAL POINTS, 15.02

V.A. VASSILIEV

## 1. Other definitions of Milnor Number of isolated singularity

1.1. Definition 2. Index of gradient map.  $f : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0)$  analytic, has isolated singularity at 0. Let  $B_{\varepsilon}$  be a ball in  $\mathbb{C}^n$  centered at 0, containing no other critical points. The gradient vector field

$$\sum_{i=1}^{n} \frac{\partial f}{\partial x_i} \frac{\partial}{\partial x_i}$$

(normed) defines a continuous map  $S_{\varepsilon}^{2n-1} \rightarrow S_{1}^{2n-1}$ . The index of this map is equal to Milnor number.

Example: for Morse singularity, gradient map is a diffeomorphism, so index is = 1.

1.2. Definition 3. Number of critical points of a small Morsification. Let  $\tilde{f}$  be a very small perturbation of f, so that any function of the segment  $[f, \tilde{f}]$ (i.e. of form  $tf + (1 - t)\tilde{f}, t \in [0, 1]$ ) does not have critical points at  $S_{\varepsilon}^{2n-1}$ . In particular, the gradient map of  $\tilde{f}$  on  $S_{\varepsilon}^{2n-1}$  is homotopic to that of f. Milnor number is the number of (Morse) critical points of  $\tilde{f}$ inside  $B_{\varepsilon}$ .

Example: Morse singularity f is already is a Morsification with a single critical point.

Equivalence of these two definitions: standard theorem on index of a vector field.

## 1.3. Definition 4. Homology group of Milnor fiber.

**Lemma 1.** Variety  $f^{-1}(0)$  is transversal to  $S_{\varepsilon}^{2n-1}$  for any sufficiently small  $\varepsilon > 0$ .



*Proof.* Suppose the converse: for any sufficiently small  $\varepsilon$  there is a point  $a(\varepsilon) \in S_{\varepsilon}^{2n-1}$  such that f(a) = 0 and  $f^{-1}(0)$  is tangent to  $S_{\varepsilon}^{2n-1}$  at it. Then use

**Lemma 2** (on selection of curves). If a real semi-algebraic set  $A \subset \mathbb{R}^N \setminus 0$  approaches the point 0 then there is a germ of analytic non-constant curve  $\varphi : [0, \tau) \rightarrow$  V.A. VASSILIEV

 $(\mathbb{R}^N, 0) \text{ such that } \varphi(t) \in A \text{ for any } t \in (0, \tau).$ 

Apply this lemma to the set of points at which  $f^{-1}(0)$  is tangent to corresponding spheres  $S_{\varepsilon'}^{2n-1}$ . Then f cannot be constant along this curve.

Let us fix  $\varepsilon$  such that  $f^{-1}(0)$  is transversal to  $S_{\varepsilon'}^{2n-1}$  for all  $\varepsilon' \in (0, \varepsilon]$ .

Then for any  $\zeta \in \mathbb{C}^1$  very close to 0, the variety  $f^{-1}(\zeta)$  is also transversal to  $S_{\varepsilon}^{2n-1}$ (but generally not for all  $\varepsilon' < \varepsilon$ ). (I. e., there is  $\delta > 0$  such that it is true for any  $\zeta$ from the  $\delta$ -disc  $D_{\delta} \subset \mathbb{C}^1$  centered at 0).

**Theorem 1** (Milnor). Manifold  $V_{\zeta} = f^{-1}(\zeta) \cap B_{\varepsilon}, \quad \zeta \in D_{\delta} \setminus \{0\}, \text{ is ho-}$ motopy equivalent to the wedge of finitely
many spheres of dimension n - 1. In particular,  $\tilde{H}_{n-1}(V_{\zeta}) \simeq \mathbb{Z}^{\mu}$  for certain integer  $\mu$ , and  $\tilde{H}_j(V_{\zeta}) = 0$  for  $j \neq n-1$ .

This number  $\mu$  is again equal to Milnor number of f.

Example: series  $A_{\mu}$  in one variable.  $f^{-1}(0) \cap B_{\varepsilon}$  consists of  $\mu + 1$  points, so  $\tilde{H}_{n-1} = \mathbb{Z}^{\mu}$ .

1.4. Example of Morse singularity. Milnor fiber  $f^{-1}(\zeta)$  of Morse singularity  $f = z_1^2 + \cdots + z_n^2$ , let be  $\zeta = \delta > 0$ .  $f^{-1}(\delta) \cap \mathbb{R}^n$  is the sphere  $S^{n-1}$  of radius  $\sqrt{\delta}$  in  $\mathbb{R}^n$ . By dilation we can assume  $\delta =$ 1. Consider projection of  $f^{-1}(1)$  to  $\mathbb{R}^n$ . Pre-image of  $0 \in \mathbb{R}^n$  is empty: indeed, f is negative on the imaginary plane  $i\mathbb{R}^n$ . The composition of this projection with polar projection  $\mathbb{R}^n \setminus \{0\} \to S^{n-1}$  is a fiber bundle (since our function is invariant under rotations of  $\mathbb{R}^n$ ). Its fiber over the point  $(1, 0, \ldots, 0) \in S^{n-1}$  consists of points V.A. VASSILIEV

 $(z_1, \ldots, z_n), z_k = x_k + iy_k$ , such that  $x_1 > 0, x_2 = \cdots = x_n = 0, (x_1 + iy_1)^2 - y_2^2 - \cdots - y_n^2 = 1$ , in particular  $y_1 = 0$ . This is a (n-1)-dimensional manifold, its projection to  $i\mathbb{R}^n$  along  $\mathbb{R}^n$  is a diffeomorphism onto the hyperplane  $\{y_1 = 0\} \subset i\mathbb{R}^n$ . So entire  $f^{-1}(1)$  is homotopy equivalent to  $S^{n-1}$  (and diffeomorphic to  $TS^{n-1}$  by multiplication of fibers by i).

Remembering on the small ball  $B_{\varepsilon}$  and correspondingly small  $\delta$ ,  $f^{-1}(\delta) \cap B_{\varepsilon}$  is diffeomorphic to  $T_1 S^{n-1}$ , the space of tangent vectors of length  $\leq 1$  of  $S^{n-1}$ .

The generator of  $H_{n-1}(f^{-1}(\delta))$  defined by our small sphere is called the *vanishing cycle*.

1.5. **Proof of equivalence**  $3 \Leftrightarrow 4$ . Let  $\varepsilon$  be as in Lemma 1 above.

1. Let again  $\tilde{f}$  be a strict Morsification of f very close to f, then all varieties  $\tilde{f}^{-1}(\eta)$  are transversal to  $S_{\varepsilon}^{2n-1}$ ; if  $\eta$  is not equal to either of critical values of  $\tilde{f}$  then the variety  $\tilde{f}^{-1}(\eta) \cap B_{\varepsilon}$  is non-singular and isotopic to  $f^{-1}(\zeta) \cap B_{\varepsilon}, \zeta \in D_{\delta} \setminus \{0\}.$ 

2. The singular fiber  $V_0 \equiv f^{-1}(0) \cap B_{\varepsilon}$  is contractible. In general, if Y is an algebraic subset in  $\mathbb{R}^N$  or  $\mathbb{C}^N$ , and  $a \in A$ , then for any sufficiently small ball B centered at a, the pair  $(Y \cap B, Y \cap \partial B)$  is homeomorphic to the cone over  $Y \cap \partial B$ .

3. If  $\delta$  is small enough comparing with the size of  $B\varepsilon$ , and  $D_{\delta}$  is the disc or radius  $\delta$  centered at 0 in  $\mathbb{C}^1$ , then  $f^{-1}(D_{\delta}) \cap B_{\varepsilon}$ can be contracted to  $V_0$  (i.e. contains it as a deformation retract), in particular also is contractible. 4. If the perturbation  $\tilde{f}$  of f is very small (compared with  $\varepsilon$  and  $\delta$ ), then the perturbed preimage  $\tilde{V} = \tilde{f}^{-1}(D_{\delta}) \cap B_{\varepsilon}$  is homeomorphic to  $f^{-1}(D_{\delta}) \cap B_{\varepsilon}$ , in particular also is contractible. So, by exact sequence of the pair we need to prove that  $H_n(\tilde{f}^{-1}(D_{\delta}) \cap B_{\varepsilon}, \tilde{f}^{-1}(\zeta) \cap B_{\varepsilon}) \sim \mathbb{Z}^{\mu}$ where  $\mu$  is the number of critical points of  $\tilde{f}$  in  $B_{\varepsilon}$ . (And we have proved it for Morse singularities.)



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5. For any of  $\mu$  Morse critical points of f, (call it  $a_j$ , with critical value  $\xi_j$ ) consider a small ball  $B_j \subset B_{\varepsilon}$  around it, in which the corresponding fiber  $\tilde{f}^{-1}(\xi_j)$  behaves as in the Morse example (i.e. is transversal to  $\partial B_j$  and to all smaller balls); let  $D_j \subset D_{\delta}$ be a small disc around  $\xi_j$  for which entire previous picture holds (i.e. all fibers  $\tilde{f}^{-1}(\xi), \xi \in D_j$ , also are transversal to  $\partial B_j$ ). Then by the Morse example we have  $\tilde{H}_{n-1}(\tilde{f}^{-1}(\zeta) \cap B_j) \simeq \mathbb{Z}$  for any  $z \in D_j \setminus$  $\xi_j$ . Also we can suppose that all discs  $D_j$ do not meet one another.



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Let us connect the non-critical value  $\zeta$  of  $\tilde{f}$  by paths with all  $\mu$  discs  $D_j$ . Denote by  $\bigstar$  the union of these paths and discs  $D_j$ , and by  $\circledast$  only the union of paths, without the discs. Fibers  $\tilde{f}^{-1}(\xi) \cap B_{\varepsilon}$  form a fiber bundle over  $D_{\delta} \setminus \{\mu \text{ critical values of } \tilde{f} \}$ , therefore the variety  $\tilde{f}^{-1}(D_{\delta}) \cap B_{\varepsilon}$  can be contracted onto  $\tilde{f}^{-1}(\bigstar) \cap B_{\varepsilon}$ , in particular the latter variety also is contractible. By the same reason, the variety  $\tilde{f}^{-1}(\bigstar) \cap B_{\varepsilon}$ . So, it remains to prove that

 $H_n(\tilde{f}^{-1}(\mathbf{A}) \cap B_{\varepsilon}, \tilde{f}^{-1}(\mathbf{A}) \cap B_{\varepsilon}) \simeq \mathbb{Z}^{\mu}$ 

where  $\mu$  is the number of critical points  $a_j$ . 6. This can be proved by induction, with the Morse case as induction step. Namely, for any  $k = 0, 1, \ldots, \mu$  denote by  $\bigstar_k$  the set  $\bigstar$  with first k discs  $D_j$  removed, in particular  $\bigstar = \bigstar_0$  and  $\divideontimes = \bigstar_{\mu}$ .

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**Lemma 3.** For any  $k = 1, \ldots, \mu$ , the group

 $\tilde{H}_{i}(\tilde{f}^{-1}(\mathbf{A}_{k-1}) \cap B_{\varepsilon}, \tilde{f}^{-1}(\mathbf{A}_{k}) \cap B_{\varepsilon})$ is isomorphic to  $\mathbb{Z}$  if i = n and is trivial for  $i \neq n$ .

Then by exact sequence of this pair we get that the group  $\tilde{H}_i(\tilde{f}^{-1}(\mathbf{X}_k) \cap B_{\varepsilon})$  is isomorphic to  $\mathbb{Z}^k$  if i = n - 1 and is trivial for all other i.

Proof of Lemma. By excision this group is equal to  $\tilde{H}_i(\tilde{f}^{-1}(D_j) \cap B_{\varepsilon}, \tilde{f}^{-1}(\eta_j) \cap B_{\varepsilon})$ , where  $\eta_j$  is the common point of  $D_j$  and \*. It is easy to see that the set  $\tilde{f}^{-1}(D_j) \cap B_{\varepsilon}$ can be contracted onto  $(\tilde{f}^{-1}(D_j) \cap B_j) \cup \tilde{f}^{-1}(\eta_j)$ , and hence, again by excision, the group

$$\tilde{H}_i(\tilde{f}^{-1}(D_j) \cap B_{\varepsilon}, \tilde{f}^{-1}(\eta_j) \cap B_{\varepsilon})$$

is isomorphic to

 $\tilde{H}_i(\tilde{f}^{-1}(D_j) \cap B_j, \tilde{f}^{-1}(\eta_j) \cap B_j),$ which we know from the Morse case.

1.6. Basis of vanishing cycles defined by a system of paths. For any j, we get a vanishing cycle: a small sphere generating the group  $\tilde{H}_{n-1}(\tilde{f}^{-1}(\eta_j) \cap B_j)$ . Consider the corresponding element of group

 $\tilde{H}_{n-1}(\tilde{f}^{-1}(\eta_j) \cap B_{\varepsilon}).$ 

Using the covering homotopy of the Milnor fibration over  $D_{\delta} \setminus \{\text{critical values}\}\ \text{move}\$ all these cycles to elements of

 $H_{n-1}(\tilde{f}^{-1}(\zeta) \cap B_{\varepsilon})$ 

along our  $\mu$  paths of \*. Obtained  $\mu$  elements  $\Delta_j$  freely generate the latter group. They depend on the choice of paths (and of the orientations of small spheres).

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